



January 2020

To our Customers and our Partners:

On July 1, 2016, the first phase of China's *Management Methods for the Restriction of the Use of Hazardous Substances in Electrical and Electronic Products* ("China RoHS 2" regulation) will enter into effect, replacing the 2006 *Management Methods for Controlling Pollution Caused by Electronic Information Products* ("China RoHS 1" regulation). The currently effective aspects of the China RoHS 1 regulation require marking of and information disclosure for products within its scope to be made per SJ/T 11364-2006, *Marking for Control of Pollution Caused by Electronic Information Products*. Once the China RoHS 2 regulation enters into effect, these requirements will continue for electrical and electronic products pursuant to the amended version of this standard, SJ/T 11364-2014, *Marking for the Restricted Use of Hazardous Substances in Electronic and Electrical Products*.*

The essential marking and information disclosure obligations under the China RoHS 1 and 2 regulations involve:

- For in-scope products containing the six restricted substances within or exceeding the limits specified in GB/T 26572-2011, *Requirements of Concentration Limits for Certain Restricted Substances in Electrical and Electronic Products*, marking with an appropriate pollution control logo ("pollution control logo information");
- For in-scope products containing restricted substances at levels exceeding the limits in GB/T 26572-2011, indicating an Environment Protection Use Period (EPUP) in the pollution control logo; and
- For in-scope products containing restricted substances at levels exceeding specified limits in GB/T 26572-2011, providing an information disclosure table indicating the presence or absence of the six substances covered by this regulation ("restricted substance content information").

The list of electronic information products ("EIP list") for the China RoHS 1 regulation does not specifically identify all of Brooks' products as being within the regulation scope. The scope of the China RoHS 2 regulation remains subject to clarification with forthcoming guidance.

Brooks is complying with these marking and information disclosure obligations for our Customers and Partners who purchase and use our products, as the requirements and related guidance are finalized, to ensure we do not hamper their compliance or availability. This compliance involves providing applicable pollution control logo information, including the product environmental protection use period ("EPUP"). The EPUP is based on the standard operating environments for and construction of our products. Brooks' compliance also involves providing restricted substance content information (as required) for our in-scope products based on information available to Brooks at the time of reporting.

Where Brooks is the supplier of products purchased for manufacturing, Brooks marking and information disclosure obligations under the China RoHS 1 and 2 regulations are to supply to the purchaser the information required for the purchaser to fulfill its own, applicable pollution control logo

* The effective date of SJ/T 11364-2014 was delayed until implementation of the China RoHS 2 regulation per Ministry of Industry and Information Technology Announcement 2014 No. 88.

and restricted substance content information compliance obligations for placing the product on the market in China.

Brooks continues to work with its suppliers to determine if restricted substances are used within the components and materials we purchase for use in our products, and if they are, at what level. We are also continuing to work with our supply base to find alternate parts without compromising the performance and quality expected from Brooks Automation products.

Sustainability creates and maintains the conditions under which humans and nature can productively co-exist, thereby fulfilling the social, economic and other requirements of present and future generations, through conservation, stewardship, and corporate responsibility and recognizes that the protection of the earth's environment is one of the most important issues for all mankind. We are committed to protecting the environment for our customers, partners, employees, friends and neighbors in the workplace and the community. Our products and services fully comply with all applicable environmental health and safety laws and regulations and are safe for both the environment and the people who use them in their intended markets and applications.

If you have questions about compliance for any product contact your local Brooks sales representative.

This letter is intended only to report to you Brooks' understanding of the impact of China's RoHS regulatory requirements on Brooks' sale of its various products. It is not intended to serve as legal advice and should not be relied upon by a purchaser in evaluating its own responsibilities under the directives. Any purchaser is encouraged to seek their own independent legal advice.

